

UNITED STATES HOUSE OF REPRESENTATIVES  
CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT

FORM A Page 1 of 9  
For use by Members, officers, and employees

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2011 MAY 16 AM 9:26

*MC*  
OFFICE OF CLERK  
U.S. HOUSE OF REPRESENTATIVES  
(Office Use Only)

Josiah Robins Bonner, Jr.  
(Full Name)

202-225-4931  
(Daytime Telephone)

Filer  
Status

☒ Member of the U.S.  
House of Representatives

State: AL  
District: 01

☐ Officer Or  
Employee

Employing Office:

A \$200 penalty shall  
be assessed against  
anyone who files  
more than 30 days  
late.

Report  
Type

☒ Annual (May 15)

☐ Amendment

☐ Termination

Termination Date:

**PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS**

<p>I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p>If yes, complete and attach Schedule I.</p>	<p>VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p>If yes, complete and attach Schedule VI.</p>
<p>II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p>If yes, complete and attach Schedule II.</p>	<p>VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p>If yes, complete and attach Schedule VII.</p>
<p>III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>If yes, complete and attach Schedule III.</p>	<p>VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p>If yes, complete and attach Schedule VIII.</p>
<p>IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>If yes, complete and attach Schedule IV.</p>	<p>IX. Did you have any reportable agreement or arrangement with an outside entity? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p>If yes, complete and attach Schedule IX.</p>
<p>V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>If yes, complete and attach Schedule V.</p> <p>Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.</p>	

**EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS**

<p>Trusts--</p>	<p>Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?</p>	<p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>
<p>Exemptions--</p>	<p>Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.</p>	<p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>

Name Josiah Robins Bonner, Jr.

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BLOCK A		BLOCK B	BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source		Year-End Value of Asset	Type of Income	Amount of Income	Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.</p> <p>For rental or other real property held for investment, provide a complete address.</p> <p>For an ownership interest in a privately-held business that is not publically traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a removal checking or</p>		<p>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p>	<p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p>	<p>For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
JT	Camden National Bank	\$1,001 - \$15,000	INTEREST	\$1 - \$200	none
	Congressional Federal Credit Union	\$1,001 - \$15,000	INTEREST	\$1 - \$200	none
JT	Whitney National Bank	\$1,001 - \$15,000	INTEREST	\$1 - \$200	none
	Pioneer Growth Shares	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	none
JT	2469 +/- acres timberland, Wilcox County, Alabama	\$1,000,001 - \$5,000,000	Other: hunting leases	\$15,001 - \$50,000	leases
JT	RBC Bank	\$1,001 - \$15,000	INTEREST	\$1 - \$200	none

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name Josiah Robins Bonner, Jr.

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	76 acres timberland, Wilcox County, Alabama	\$100,001 - \$250,000	None	NONE	none
JT	Alabama PrePaid Tuition Plan-DC 1	\$1,001 - \$15,000	None	NONE	none
JT	Alabama PrePaid Tuition Plan - DC 2	\$1,001 - \$15,000	None	NONE	none
JT	1st Camden Bankshares	\$15,001 - \$50,000	DIVIDENDS	\$2,501 - \$5,000	P
JT	Town and Country Bank	\$15,001 - \$50,000	INTEREST	\$1 - \$200	none
JT	Wells Fargo Cash Sweep Account	\$1,001 - \$15,000	INTEREST	\$1 - \$200	none
JT	Oppenheimer Main Street Fund	None	CAPITAL GAINS: assets used to purchase other securities	\$15,001 - \$50,000	S
JT	Oppenheimer Capital Appreciation Fund	None	CAPITAL GAINS: assets used to purchase other securities	\$2,501 - \$5,000	S
JT	Pioneer Independence Fund	None	CAPITAL GAINS: assets used to purchase other securities	\$5,001 - \$15,000	S
JT	Oppenheimer Emerging Markets Fund	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	P
JT	Oppenheimer Developing Markets Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
JT	Oppenheimer International Bond Fund	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name Josiah Robins Bonner, Jr.

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JT	Oppenheimer Equity Income	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P
JT	Pioneer Ser TR III	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
JT	Pioneer Fundamental Growth	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
JT	Pioneer Ser TR I	\$1,001 - \$15,000	None	NONE	P
JT	Pioneer Strategic Income	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	P
JT	Pioneer Select Mid Cap Growth	\$1,001 - \$15,000	None	NONE	P
	2-11 acre lots, Baldwin County, Alabama	\$50,001 - \$100,000	None	NONE	none
SP	Cisco	None	CAPITAL GAINS	\$1,001 - \$2,500	S
SP	Fannie Mae	None	CAPITAL GAINS	\$1 - \$200	S
SP	Health South	None	CAPITAL GAINS	\$201 - \$1,000	S
SP	Southern Company	None	CAPITAL GAINS	\$5,001 - \$15,000	P, S
SP	AT&T	None	CAPITAL GAINS	\$1,001 - \$2,500	S
SP	BB&T	None	CAPITAL GAINS	\$2,501 - \$5,000	S
SP	Caterpillar Inc.	None	CAPITAL GAINS	\$2,501 - \$5,000	S

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name Josiah Robins Bonner, Jr.

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SP	Cincinnati Financial Corp.	None	CAPITAL GAINS	\$1,001 - \$2,500	S
SP	Consolidated Edison	None	CAPITAL GAINS	\$1,001 - \$2,500	S
SP	Arthur J. Gallagher & Co.	None	CAPITAL GAINS	\$2,501 - \$5,000	S
SP	Pinnacle West Capital Corporation	None	CAPITAL GAINS	\$2,501 - \$5,000	S
SP	Progress Energy Inc.	None	CAPITAL GAINS	\$1,001 - \$2,500	S
SP	Eli Lilly and Company	None	CAPITAL GAINS	\$1,001 - \$2,500	S
SP	Kinder Morgan Energy	None	CAPITAL GAINS	\$2,501 - \$5,000	S
SP	Regency Energy Partners	None	CAPITAL GAINS	\$1,001 - \$2,500	S
SP	Cerner Corporation	None	CAPITAL GAINS	\$1,001 - \$2,500	P, S
SP	Automatic Data Processing	None	CAPITAL GAINS	\$1,001 - \$2,500	P, S
	Easement to City of Thomasville, AL	None	Contractual Income	\$1,001 - \$2,500	

# SCHEDULE IV - TRANSACTIONS

Name Josiah Robins Bonner, Jr.

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
JT	2469 +/- acres timberland, Wilcox County, Alabama	leases	Yes	July 2010	\$15,001 - \$50,000
JT	1st Camden Bankshares	dividends	Yes	July 2010	\$1,001 - \$15,000
JT	Oppenheimer Main Street Fund	S	Yes	November 2010	\$15,001 - \$50,000
JT	Oppenheimer Capital Appreciation Fund	S	Yes	November 2010	\$1,001 - \$15,000
JT	Pioneer Independence Fund	S	Yes	November 2010	\$1,001 - \$15,000
JT	Oppenheimer Emerging Markets Fund	P	N/A	November 2010	\$1,001 - \$15,000
JT	Oppenheimer Developing Markets Fund	P	N/A	November 2010	\$1,001 - \$15,000
JT	Oppenheimer International Bond Fund	P	N/A	November 2010	\$15,001 - \$50,000
JT	Oppenheimer Equity Income	P	N/A	November 2010	\$15,001 - \$50,000
JT	Pioneer Ser TR III	P	N/A	November 2010	\$1,001 - \$15,000
JT	Pioneer Fundamental Growth	P	N/A	November 2010	\$1,001 - \$15,000

# SCHEDULE IV - TRANSACTIONS

Name Josiah Robins Bonner, Jr.

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
JT	Pioneer Ser TR I	P	N/A	November 2010	\$1,001 - \$15,000
JT	Pioneer Strategic Income	P	N/A	November 2010	\$1,001 - \$15,000
JT	Pioneer Select Mid Cap Growth	P	N/A	November 2010	\$1,001 - \$15,000
SP	Cisco	S	Yes	June 2010	\$1,001 - \$15,000
SP	Southern Company	P	N/A	april 2010	\$1,001 - \$15,000
SP	Southern Company	S	Yes	June 2010	\$1,001 - \$15,000
SP	AT&T	S	Yes	June 2010	\$1,001 - \$15,000
SP	BB&T	S	Yes	June 2010	\$1,001 - \$15,000
SP	Caterpillar Inc.	S	Yes	June 2010	\$1,001 - \$15,000
SP	Cincinnati Financial Corp.	S	Yes	June 2010	\$1,001 - \$15,000
SP	Consolidated Edison	S	Yes	June 2010	\$1,001 - \$15,000

# SCHEDULE IV - TRANSACTIONS

Name Josiah Robins Bonner, Jr.

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
SP	Arthur J. Gallagher & Co.	S	Yes	June 2010	\$1,001 - \$15,000
SP	Pinnacle West Capital Group	S	Yes	June 2010	\$1,001 - \$15,000
SP	Progress Energy Inc.	S	Yes	June 2010	\$1,001 - \$15,000
SP	Eli Lilly and Company	S	Yes	June 2010	\$1,001 - \$15,000
SP	Kinder Morgan Energy	S	Yes	June 2010	\$1,001 - \$15,000
SP	Regency Energy Partners	S	Yes	June 2010	\$1,001 - \$15,000
SP	Cerner Corporation	P	N/A	April 2010	\$1,001 - \$15,000
SP	Cerner Corporation	S	Yes	June 2010	\$1,001 - \$15,000
SP	Automatic Data Processing	P	N/A	April 2010	\$1,001 - \$15,000
SP	Automatic Data Processing	S	Yes	June 2010	\$1,001 - \$15,000



# SCHEDULE V - LIABILITIES

Name Josiah Robins Bonner, Jr.

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
	American Express	January 2010	Revolving Credit	\$15,001 - \$50,000
JT	Chase Manhattan	January 2010	Revolving Credit	\$15,001 - \$50,000
JT	Bank of America	January 2010	Revolving Credit	\$15,001 - \$50,000

**UNITED STATES HOUSE OF REPRESENTATIVES**  
**CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT**

**FORM A** Page 1 of 6  
 For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER

2011 MAY 13 AM 9:38

Martha Roby  
 (Full Name)

202-225-2901  
 (Daytime Telephone)

**HAND DELIVERED**

(Office Use Only)

*MC*

Filer Status	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: <u>AL</u> District: <u>2</u>	<input type="checkbox"/> Officer Or Employee	Employing Office:	A \$200 penalty shall be assessed against anyone who files more than 30 days late.
	Report Type	<input checked="" type="checkbox"/> Annual (May 15)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	

**PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS**

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

**EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS**

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

**SCHEDULE I - EARNED INCOME**

Name Martha Roby

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
City of Montgomery, Alabama	Salary	\$28,000
Balch & Bingham LLP	Spouse Salary	N/A

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name Martha Roby

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BLOCK A		BLOCK B	BLOCK C	BLOCK D	BLOCK E
<b>Asset and/or Income Source</b> Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.  Provide complete names of stocks and mutual funds (do not use ticker symbols.)  For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.  For rental or other real property held for investment, provide a complete address.  For an ownership interest in a privately-held business that is not publically traded, state the name of the business, the nature of its activities, and its geographic location in Block A.  Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or more in a personal checking or		<b>Year-End Value of Asset</b> at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	<b>Type of Income</b> Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.	<b>Amount of Income</b> For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.	<b>Transaction</b> Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.
SP	AmerFunds EuroPacific Growth Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	American Beach Small Cap Value Fund	\$1,001 - \$15,000	DIVIDENDS	\$1,001 - \$2,500	
SP	American Beacon Large Cap Value Fund	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
SP	American Funds Growth Fund of America	\$1,001 - \$15,000	DIVIDENDS	\$1,001 - \$2,500	
SP	Artisan Mid Cap Value Fund	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
SP	Columbia Large Cap Index Fund	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name Martha Roby

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SP	Columbia Small Cap Growth I Fund	\$1,001 - \$15,000	DIVIDENDS	\$1,001 - \$2,500	
JT	General Electric Company stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	Hancey Mill Road Farm LLC, real estate investment entity, Montgomery County, Alabama	\$100,001 - \$250,000	None	NONE	
SP	Harbor International Fund	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
JT	Home Depot, Inc. stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	Janus Overseas Fund	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
JT	Mainstay Funds Trust Growth Equity Fund	\$1,001 - \$15,000	None	NONE	
SP	Mass Mutual Managed Growth Portfolio Fund	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
SP	T. Row Price Mid-Cap Growth Fund	\$1,001 - \$15,000	DIVIDENDS	\$1,001 - \$2,500	
JT	Torchmark Corporation stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
DC	Torchmark Corporation, stock held in trust	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
DC	Torchmark Corporation, stock held in trust	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
JT	Vale S.A. ADS stock	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
JT	Wachovia Bank accounts	\$15,001 - \$50,000	INTEREST	\$1 - \$200	

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name Martha Roby

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JT	Waddell & Reed Financial Inc. stock	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
DC	Waddell & Reed Financial Inc., stock held in trust	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
SP	Wells Galliard Blended Stable Value Fund	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	

**SCHEDULE V - LIABILITIES**

Name Martha Roby

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
SP	Regions Bank	November 2008	Personal Loan	\$15,001 - \$50,000

**UNITED STATES HOUSE OF REPRESENTATIVES  
CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT**

Form A  
For use by Members, officers, and employees

**HAND DELIVERED**

LEGISLATIVE RESOURCE CENTER

2011 MAY 12 PM 1:52

U.S. HOUSE OF REPRESENTATIVES

Name: Michael Dennis Rogers Daytime Telephone: 202-225-3261

*MC*

(Office Use Only)

<b>Filer Status</b>	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: <u>AL</u> District: <u>3</u>	<input type="checkbox"/> Officer or Employee	Employing Office: _____	<b>A \$200 penalty shall be assessed against anyone who files more than 30 days late.</b>
<b>Report Type</b>	<input checked="" type="checkbox"/> Annual (May 16, 2011)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Termination Date: _____	

**PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS**

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	<b>Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.</b>	

**EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS**

<b>TRUSTS</b> —Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>EXEMPTION</b> —Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>



List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.

**Exclude:** Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

[illegible]

**For payments to charity in lieu of honoraria, use Schedule II.**

## Name \_\_\_\_\_

Michael D. Rogers

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BLOCK A			BLOCK B												BLOCK C						BLOCK D											BLOCK E	
Asset and/or Income Source			Value of Asset												Type of Income	Amount of Income											Transaction						
Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.			Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.												Check all columns that apply. For retirement accounts that do not allow you to choose specific investments <u>or</u> that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. <b>Dividends, interest, and capital gains, even if reinvested, must be disclosed as income.</b> Check "None" if the asset generated no income during the reporting period.	For retirement accounts that do not allow you to choose specific investments <u>or</u> that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. <b>Dividends, interest, and capital gains, even if reinvested, must be disclosed as income.</b> Check "None" if no income was earned or generated.											Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.						
Provide complete names of stocks and mutual funds (do not use ticker symbols).			A	B	C	D	E	F	G	H	I	J	K	L																			
For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.			None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.  P, S, E
For rental or other real property held for investment, provide a complete address.																																	
For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.																																	
<b>Exclude:</b> Your personal residence, including second homes and vacation homes ( <i>unless</i> there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.																																	
If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.																																	
For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.																																	
SP,		SP Mega Corp. Stock					X								X			X		Royalties				X								S (partial)	
DC, Examples:		Simon & Schuster		Indefinite																								X					
JT		1st Bank of Paducah, KY Accounts					X									X									X								
		Venture Properties LLC												X						Loss	X												
		1/2 Ownership of the following properties																															
		① 1304 Quintard, Aniston							X																								
		② 1310 Quintard, Aniston					X																										
		③ 123 East 13 <sup>th</sup> St. Aniston				X																											
		Avery Auto Sales Inc.				X														Buy-out of shares						X							

**For additional assets and unearned income, use next page.**

Note Receivable

# SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name

Michael D. Rogers

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SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
		A	B	C	D	E	F	G	H	I	J	K	L							I	II	III	IV	V	VI	VII	VIII	IX	X	XI		
		None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000	
	oxford Notes Receivable, Auto Retirement System of Ala.				X													X								X						
Sp.	URS Prime Holdings, 401K <sup>Not</sup> <del>oxford</del> <sup>Ret</sup> <del>Ret</del>				X									X							X											
Sp.	Noble Bank - stock						X							X							X											
Sp.	Southern Co. - stock				X									X							X											
	Cherokee Bank - stock				X									X							X											
	Putnam College Advantage				X									X							X											
	(Global Bond Asset Allocation)																															
	Balanced Portfolio																															
	Capital Development, LLC <sup>20%</sup> <del>owner</del>							X						X							X											
	Initial Investments, LLC <sup>50%</sup> <del>owner</del>																															
	① 1126 Snow St., Oxford <sup>owner</sup>																															
	② 12 McCullers, Oxford																															
Sp.	Oxford Auto Finance Inc				X									X																		
DC	Ala Prepaid Tuition <sup>(Child one)</sup>				X									X							X											
DC	Ala. Prepaid Tuition <sup>2nd child</sup>				X									X							X											
DC	Ala Prepaid Tuition <sup>3rd child</sup>				X									X							X											

\* Sole Asset of Capital Development LLC, is 200 Acres of Land in the 6400 Block of State Rd  
Anniston AL 36806

This page may be copied if more space is required.

# **SCHEDULE V— LIABILITIES**

Report liabilities of over \$10,000 owed to any one creditor **at any time** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report **revolving charge accounts** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

SP, DC, JT	Creditor		Date Liability Incurred Mo/Year	Type of Liability	Amount of Liability									
					A \$10,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000
	Example:	First Bank of Wilmington, DE	May 1998	Mortgage on 123 Main St., Dover, DE				X						
JT	Chase Visa		12/10	Credit Card		X								

# **SCHEDULE VI— GIFTS**

Report the source, a brief description, and the value of all gifts totalling more than \$335 received by you, your spouse, or a dependent child from any source during the year. **Exclude:** Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$134 or less need not be added towards the \$335 disclosure threshold. **Note:** The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
<i>Example:</i> Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	\$345
NONE		

## SCHEDULE VIII—POSITIONS

Name	Michael D. Rogers	Page	6 of 6
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Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

**Exclude:** Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
Manager - Member	Venture Properties LLC
Member - Manager	Initial Investments, LLC
Member	Capital Development, LLC

## SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
3-30-09	Mike Rogers, Avery Auto Sales, Inc.	Sale of 50% ownership of Avery Auto Sales, Inc. to be paid over 5 years.
3-30-09	Both Rogers (spouse) Oxford Auto Finance, Inc.	Sale of 50% in Oxford Auto Finance, Inc., proceeds to be paid over 5 years.

UNITED STATES HOUSE OF REPRESENTATIVES  
CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT

FORM A  
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER  
Page 1 of 10

MAY 13 AM 9:15

U.S. HOUSE OF REPRESENTATIVES

Morris Jackson "Mo" Brooks, Jr.

256-656-2752

(Full Name)

(Daytime Telephone)

**HAND DELIVERED**

(Office Use Only)

Filer  
Status

☒ Member of the U.S.  
House of Representatives

State: AL

District: 05

☐ Officer Or  
Employee

Employing Office:

Report  
Type

☒ Annual (May 15)

☐ Amendment

☐ Termination

Termination Date:

**A \$200 penalty shall  
be assessed against  
anyone who files  
more than 30 days  
late.**

**PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS**

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule I.	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule VI.
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule II.	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule VII.
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule III.	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule VIII.
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule IV.	IX. Did you have any reportable agreement or arrangement with an outside entity? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule IX.
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule V.	<b>Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.</b>

**EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS**

**Trusts--**

Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes ☐ No ☒

**Exemptions--**

Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.

Yes ☐ No ☒

**SCHEDULE I - EARNED INCOME**

Name Morris Jackson "Mo" Brooks, Jr.

Page 2 of 10

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
Leo & Brooks, LLC	Salary	\$52,687
Madison County Commission	Salary	\$64,236
Huntsville City Schools	Spouse Salary	N/A

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Morris Jackson "Mo" Brooks, Jr.

Page 3 of 10

<p><b>BLOCK A</b></p> <p><b>Asset and/or Income Source</b></p> <p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.</p> <p>For rental or other real property held for investment, provide a complete address.</p> <p>For an ownership interest in a privately-held business that is not publically traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or</p>	<p><b>BLOCK B</b></p> <p><b>Year-End Value of Asset</b></p> <p>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p>	<p><b>BLOCK C</b></p> <p><b>Type of Income</b></p> <p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p>	<p><b>BLOCK D</b></p> <p><b>Amount of Income</b></p> <p>For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p>	<p><b>BLOCK E</b></p> <p><b>Transaction</b></p> <p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
JT Redstone Federal Credit Union	\$25,001 - 50,000	INTEREST	\$1,001 - \$2,500	
JT Citibank NA South Dakota Deposit Account	\$1,001 - \$15,000	INTEREST	NONE	
JT Charles Schwab Stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JT CitiGroup Capital x 6.10% Preferred Stock	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
JT Morgan Stanley Cap TR V 5.75% Preferred Stock	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
JT Eaton Vance Tax Managed Growth Mutual Fund	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	



**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name Morris Jackson "Mo" Brooks, Jr.

Page 4 of 10

JT	Baldwin County, AL Board of Ed Bond 11/6/08	\$50,001 - \$100,000	INTEREST	\$1,001 - \$2,500	
JT	Tobacco Settlement Finance Corp of Louisiana Bonds	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
JT	Huntsville-Redstone Village Bonds	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
JT	Baldwin County, AL Board of Ed Bond 2/9/10	\$1,001 - \$15,000	INTEREST	NONE	
JT	TD Bank USA FDIC Insured Deposit Account	\$15,001 - \$50,000	INTEREST	\$1 - \$200	
JT	Alliance Resource Partners LP Partnership Interest	\$50,001 - \$100,000	Other: Partnership Distribution	\$2,501 - \$5,000	
JT	Arlington Asset Investment Corp Stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JT	Blackrock Income Trust Stock	\$1,001 - \$15,000	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000	
JT	Consolidated Edison Co. NY, Inc. Stock	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
JT	DNP Select Income Fund, Inc. Stock	\$1,001 - \$15,000	DIVIDENDS/CAPITAL GAINS	\$1,001 - \$2,500	
JT	Duke Energy Holdings Corp. Stock	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
JT	Frontier Communications Corp. Stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JT	H & Q Healthcare Inv. Benefits Stock	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000	
JT	Hospitality Properties Trust Stock	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name Morris Jackson "Mo" Brooks, Jr.

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JT	Hugoton Royalty Trust Stock	\$1,001 - \$15,000	Other: Royalties	\$201 - \$1,000	
JT	Plum Creek Timber Co. Stock	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$1,001 - \$2,500	
JT	Progress Energy Inc. Stock	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
JT	Redwood Trust Company Stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JT	Spectra Energy Corporation Stock	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
JT	Sunoco Logistics Partners Partnership Interest	\$15,001 - \$50,000	Other: Partnership Distribution	\$1,001 - \$2,500	
JT	TC Pipelines LP Partnership Interest	None	Other: Partnership Distribution/CAPITAL GAINS	\$15,001 - \$50,000	S
JT	Universal Health Realty Income Trust Stock	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
JT	Verizon Communications Stock	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
JT	San Joaquin Hills CA Transportation - Highway Revenue Tolls	\$1,001 - \$15,000	INTEREST	NONE	
JT	California EDL GACS Auth College & Univ. Revenue Bonds	\$15,001 - \$50,000	INTEREST	NONE	
	TD Bank USA FDIC Insured Deposit Account	\$1,001 - \$15,000	None	NONE	
	Arlington Asset Inv Corp Stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name Morris Jackson "Mo" Brooks, Jr.

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	Duke Energy Holdings Corporation Stock	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
	New York Community Bancorp Stock	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
	Newcastle Investment Corp Stock	\$1,001 - \$15,000	DIVIDENDS	\$1,001 - \$2,500	
	WP Carey & Co. LLC Partnership Interest	\$15,001 - \$50,000	None	NONE	
	Duke Capital Corp Bonds	\$1,001 - \$15,000	None	NONE	
SP	Legg Mason Opportunity Trust Mutual Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	Legg Mason Value Trust Mutual Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	Legg Mason Special Investment Trust Mutual Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	Royce Mutual Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	TD Bank USA FDIC Insured Deposit Account	\$1,001 - \$15,000	None	NONE	
SP	Anworth Mortgage Asset Stock	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
SP	Dreyfus Strategic Municipal Bond Fund Stock	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
SP	Duke Energy Holdings Corporation Stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	Retirement Systems of Alabama	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name Morris Jackson "Mo" Brooks, Jr.

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DC	Legg Mason CM Opportunity Trust	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
DC	Legg Mason Special Investment Trust	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	

**SCHEDULE IV - TRANSACTIONS**

Name Morris Jackson "Mo" Brooks, Jr.

Page 8 of 10

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
JT	TC Pipelines LP Partnership Interest	S	Yes	12-28-10	\$15,001 - \$50,000

**SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS**

Name Morris Jackson "Mo" Brooks, Jr.

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Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure-- Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Freedom Works	November 11 - 13	Huntsville - Baltimore - Huntsville	Y	Y	Y	None
Heritage Foundation	December 1	Washington, DC - Hotel and food only, no transportation	Y	Y	Y	None

## SCHEDULE VIII - POSITIONS

Name Morris Jackson "Mo" Brooks, Jr.

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Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Member	Leo & Brooks, LLC

**HAND DELIVERED**

<b>UNITED STATES HOUSE OF REPRESENTATIVES</b>		<b>FORM A</b>	Page 1 of 7
<b>CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT</b>		For use by Members, officers, and employees	
SPENCER T. BACHUS, III		(202) 225-4921	LEGISLATIVE RESOURCE CENTER
(Full Name)		(Daytime Telephone)	2011 MAY 16 PM 4:52 <i>MC</i>
		(Office Use Only)	
<b>Filer Status</b>	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives State: <u>AL</u> District: <u>06</u>	<input type="checkbox"/> Officer Or Employee Employing Office: _____	A \$200 penalty shall be assessed against anyone who files more than 30 days late.
<b>Report Type</b>	<input checked="" type="checkbox"/> Annual (May 15) <input type="checkbox"/> Amendment <input type="checkbox"/> Termination	Termination Date: _____	

**PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS**

<b>I.</b> Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule I.	<b>VI.</b> Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule VI.
<b>II.</b> Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule II.	<b>VII.</b> Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule VII.
<b>III.</b> Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule III.	<b>VIII.</b> Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule VIII.
<b>IV.</b> Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule IV.	<b>IX.</b> Did you have any reportable agreement or arrangement with an outside entity? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule IX.
<b>V.</b> Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule V.	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

**EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS**

<b>Trusts--</b>	Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>Exemptions--</b>	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>



# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name SPENCER T. BACHUS, III

Page 2 of 7

<p><b>BLOCK A</b></p> <p><b>Asset and/or Income Source</b></p> <p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.</p> <p>For rental or other real property held for investment, provide a complete address.</p> <p>For an ownership interest in a privately-held business that is not publically traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or</p>	<p><b>BLOCK B</b></p> <p><b>Year-End Value of Asset</b></p> <p>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p>	<p><b>BLOCK C</b></p> <p><b>Type of Income</b></p> <p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p>	<p><b>BLOCK D</b></p> <p><b>Amount of Income</b></p> <p>For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p>	<p><b>BLOCK E</b></p> <p><b>Transaction</b></p> <p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
CALL (FXI) ISHARES OCT 43	None	CAPITAL GAINS	\$1,001 - \$2,500	PS
CALL (QQQ) POWER SHARES APR 46	None	CAPITAL GAINS	\$201 - \$1,000	PS
CALL (QQQ) POWER SHARES MAR 44	None	CAPITAL GAINS	\$201 - \$1,000	PS
SP CITIBANK NA	\$1 - \$1,000	INTEREST	\$1 - \$200	
CONGRESSIONAL FED CR UN	\$1 - \$1,000	INTEREST	\$1 - \$200	
FIDELITY INVESTMENTS	\$1 - \$1,000	DIVIDENDS/CAPITAL GAINS	\$1 - \$200	PS(part)

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name SPENCER T. BACHUS, III

Page 3 of 7

SP	FIDELITY INVESTMENTS	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	PS(part)
SP	PACIFIC LIFE ANNUITY	\$250,001 - \$500,000	INTEREST	NONE	
SP	PUT (FSI ) TR FTSE SEP	None	CAPITAL GAINS	\$201 - \$1,000	PS
	PUT (FXI) ISHARES AUG 42	None	CAPITAL GAINS	\$201 - \$1,000	PS
	REGIONS	\$1 - \$1,000	INTEREST	\$1 - \$200	
SP	REGIONS	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
SP	SMITH BARNEY IRA	\$100,001 - \$250,000	DIVIDENDS/INTE REST/CAPITAL GAINS	NONE	
SP	SOUTHWOOD PROPERTIES	\$100,001 - \$250,000	RENT	\$2,501 - \$5,000	
SP	WESTERN ASSET MM	\$50,001 - \$100,000	INTEREST	\$201 - \$1,000	E

# SCHEDULE IV - TRANSACTIONS

Name SPENCER T. BACHUS, III

Page 4 of 7

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	CALL (FXI) ISHARES FEB 44	P	N/A	02-04-10	\$1,001 - \$15,000
	CALL (FXI) ISHARES FEB 44	S	No	02-22-10	\$1,001 - \$15,000
	CALL (FXI) ISHARES JUN 35	P	N/A	05-11-10	\$1,001 - \$15,000
	CALL (FXI) ISHARES JUN 35	S	No	06-21-10	\$1,001 - \$15,000
	CALL (FXI) ISHARES JUN 36	P	N/A	06-10-10	\$1,001 - \$15,000
	CALL (FXI) ISHARES JUN 36	S	No	06-21-10	\$1,001 - \$15,000
	CALL (FXI) ISHARES OCT 43	P	N/A	09-09-10	\$1,001 - \$15,000
	CALL (FXI) ISHARES OCT 43	S	Yes	10-18-10	\$1,001 - \$15,000
	CALL (QQQ) POWER SHARE APR 46	S	Yes	04-19-10	\$1,001 - \$15,000
	CALL (QQQ) POWER SHARES APR 46	P	N/A	03-23-10	\$1,001 - \$15,000
	CALL (QQQ) POWER SHARES MAR 44	S	Yes	03-22-10	\$1,001 - \$15,000

# SCHEDULE IV - TRANSACTIONS

Name SPENCER T. BACHUS, III

Page 5 of 7

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	CALL (QQQ) POWER SHARES MAR 44	P	N/A	03-08-10	\$1,001 - \$15,000
SP	PROSHARES ULTRA SHORT 100 FD	P	N/A	01-02-10	\$1,001 - \$15,000
SP	PROSHARES ULTRA SHORT 100 FD	S	No	07-27-10	\$1,001 - \$15,000
SP	PUT (FSI) ISHARES FTSE AUG	P	N/A	07-03-10	\$1,001 - \$15,000
SP	PUT (FSI) ISHARES FTSE SEP	P	N/A	07-29-10	\$1,001 - \$15,000
	PUT (FXI) ISHARES AUG 38	S	No	08-23-10	\$1,001 - \$15,000
	PUT (FXI) ISHARES AUG 38	P	N/A	08-11-10	\$1,001 - \$15,000
	PUT (FXI) ISHARES AUG 42	S	Yes	08-23-10	\$1,001 - \$15,000
	PUT (FXI) ISHARES AUG 42	P	N/A	07-27-10	\$1,001 - \$15,000
SP	PUT (FXI) ISHARES FTSE AUG	S	No	08-23-10	\$1,001 - \$15,000
SP	PUT (FXI) ISHARES FTSE SEP	S	Yes	08-25-10	\$1,001 - \$15,000

# SCHEDULE IV - TRANSACTIONS

Name SPENCER T. BACHUS, III

Page 6 of 7

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	PUT (FXI) ISHARES JUL 39	S	No	07-19-10	\$1,001 - \$15,000
	PUT (FXI) ISHARES JUL 39	P	N/A	07-16-10	\$1,001 - \$15,000
	PUT (FXI) ISHARES JUL 40	P	N/A	07-13-10	\$1,001 - \$15,000
	PUT (FXI) ISHARES JUL 40	S	No	07-15-10	\$1,001 - \$15,000
	PUT (QQQ) POWER SHARES APR 48	S	No	04-19-10	\$1,001 - \$15,000
	PUT (QQQ) POWER SHARES APR 48	P	N/A	04-12-10	\$1,001 - \$15,000

# SCHEDULE V - LIABILITIES

Name SPENCER T. BACHUS, III

Page 7 of 7

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
	BBVA COMPASS BANK	OCT 2010	OPEN BANK LOAN	\$15,001 - \$50,000

2011 MAY 16 PM 4:25

<b>UNITED STATES HOUSE OF REPRESENTATIVES</b> <b>CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT</b>	<b>FORM A</b> For use by Members, officers, and employees
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TERRYCINA ANDREA SEWELL

202-225-2665

(Full Name)

(Daytime Telephone)

(Office Use Only)

**HAND DELIVERED**

MC

<b>Filer Status</b>	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives State: <u>AL</u> District: <u>07</u>	<input type="checkbox"/> Officer Or Employee Employing Office: _____	<b>A \$200 penalty shall be assessed against anyone who files more than 30 days late.</b>
<b>Report Type</b>	<input checked="" type="checkbox"/> Annual (May 15)	<input type="checkbox"/> Amendment <input type="checkbox"/> Termination Termination Date: _____	

**PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS**

<b>I.</b> Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	<b>VI.</b> Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>II.</b> Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	<b>VII.</b> Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>III.</b> Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	<b>VIII.</b> Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>IV.</b> Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	<b>IX.</b> Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>V.</b> Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	<b>Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.</b>	

**EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS**

<b>Trusts-</b>	Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>Exemptions-</b>	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

**SCHEDULE I - EARNED INCOME**

Name TERRYCINA ANDREA SEWELL

Page 2 of 5

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
Maynard, Cooper and Gale PC	Salary	\$94,078



**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name TERRYCINA ANDREA SEWELL

Page 3 of 5

<b>BLOCK A</b>  <b>Asset and/or Income Source</b> Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.  Provide complete names of stocks and mutual funds (do not use ticker symbols.)  For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.  For rental or other real property held for investment, provide a complete address.  For an ownership interest in a privately-held business that is not publically traded, state the name of the business, the nature of its activities, and its geographic location in Block A.  Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a national checking or	<b>BLOCK B</b>  <b>Year-End Value of Asset</b>  at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	<b>BLOCK C</b>  <b>Type of Income</b>  Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.	<b>BLOCK D</b>  <b>Amount of Income</b>  For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.	<b>BLOCK E</b>  <b>Transaction</b>  indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.
401(k) investments as follows:				
Regions Stable Pincipal	\$15,001 - \$50,000	None	NONE	
Van Kampen Growth & Income	\$50,001 - \$100,000	None	NONE	
Columbia Acorn	\$15,001 - \$50,000	None	NONE	
DWS Dreman Small Cap Value	\$15,001 - \$50,000	None	NONE	
Amer Funds Europacific Growth	\$15,001 - \$50,000	None	NONE	

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name TERRYCINA ANDREA SEWELL

Page 4 of 5

	IRA investments as follows:				
	Retirement Rsrvs CI 1	\$1,001 - \$15,000	None	NONE	
	Amer Funds Amcap	\$1,001 - \$15,000	None	NONE	
	Amer Funds Washington	\$1,001 - \$15,000	None	NONE	
	American Euro Pacific	\$15,001 - \$50,000	None	NONE	
	American Growth Fund	\$1,001 - \$15,000	None	NONE	
	American Investment	\$1,001 - \$15,000	None	NONE	
	Columbia Marisco Growth	\$1,001 - \$15,000	None	NONE	
	Eaton Vance Income Fund	\$1,001 - \$15,000	None	NONE	
	Wells Fargo Advantage	\$1,001 - \$15,000	None	NONE	
	Beach House, Seacrest Beach, FL	\$250,001 - \$500,000	RENT	\$5,001 - \$15,000	
	Coach, Inc. stock	\$50,001 - \$100,000	None	NONE	
	General Electric Company stock	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
	Lot - Draper Lake, Santa Rosa Beach, FL	\$100,001 - \$250,000	None	NONE	

**SCHEDULE V - LIABILITIES**

Name TERRYCINA ANDREA SEWELL

Page 5 of 5

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
	Regions Bank, Alabama	2003	Mortgage on each house, Seacrest Beach, FL	\$250,001 - \$500,000
	Regions Bank, Alabama	2004	Installment lot loan on Draper Lake, Santa Rosa Beach, FL	\$250,001 - \$500,000
	Regions Bank, Alabama	2009	Personal Loan	\$100,001 - \$250,000
	Schwab Brokerage Account	2010	Margin Account (paid off May, 2011)	\$15,001 - \$50,000